

BETA



**ASSOCIATION OF CERTIFIED
SANCTIONS SPECIALISTS**

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PRACTICE QUESTIONS

Practice Questions for the CSS Examination

1. Which of the following is *not* an objective of economic sanctions?
 - a. To deny resources to targets of sanctions
 - b. To send a political message
 - c. To provide the sanctioning country's industries with a competitive advantage
2. The United Nations imposes sanctions
 - a. Against individuals, entities, and countries
 - b. Against individuals and entities only
 - c. Against countries only
3. Under the EU sanctions regime, sanctions are imposed by
 - a. The EU Commission
 - b. The EU Council
 - c. The EU Parliament
4. Sanctions that the United States may impose against non-U.S. parties for failure to comply with U.S. sanctions are called
 - a. Secondary sanctions
 - b. Direct sanctions
 - c. Sectoral sanctions
5. Under the U.S. system, designation as an SDN also applies automatically to
 - a. Entities owned or controlled by SDNs
 - b. Entities owned 50 percent or more by any combination of SDNs
 - c. Owned 50% or more by a single SDN
6. Which of the following is a red flag for a financial transaction?
 - a. The payment crosses international boundaries

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- b. The payment is denominated in a currency different from that of the countries of the parties involved
 - c. Key information in a payment message has been omitted
 - 7. Which do both OFAC and the EU identify as the first key element to a sanctions compliance framework and compliance program for dual-use trade controls, respectively?
 - a. Management commitment to compliance
 - b. A system for screening customers and transactions
 - c. A written sanctions policy
 - 8. "Screening" refers to
 - a. An automated system for identifying key words in data fields
 - b. Any sort of review of information regarding a person or transaction to determine if sanctioned elements are present
 - c. A process for assessing the sanctions risk of a transaction
 - 9. A specific license is valid with respect to
 - a. All parties identified in the license
 - b. The applicant for the license only
 - c. All parties performing the sorts of transactions identified in the license
 - 10. A filing with a legal authority regarding potential sanctions violations is known as
 - a. A retroactive license application
 - b. A notice of possible violation
 - c. A voluntary self-disclosure

Answers:

1. C
2. A
3. B
4. A
5. B
6. C
7. A
8. B
9. A
- 10.C